NOMINATION FORM FOR DEMAT & TRADING ACCOUNTS

Consortium Capital Private Limited

Regd. Office : 22 R. N. Mukherjee Road, 2nd Floor, Kolkata - 700 001

Date									DP ID	I	N	3	0	3	7	2	7
UCC									Client ID								

Sole/ First Holder (Mr. / Ms.)	
Second Holder Name (Mr. / Ms.)	
Third Holder Name (Mr. / Ms.)	

I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s) *****

Nomination Details

Nominee 1 - Mandatory Details					
Name of Nominee					
Share of Nominee (%)**		Relationship		Identity No.***	
Postal Address					
Mobile No.		E-mail			
Additional Details****					
D.O.B. of Nominee		Guardian			
Nominee 2 - Mandatory Details					
Name of Nominee					
Share of Nominee (%)**		Relationship		Identity No.***	
Postal Address					
Mobile No.		E-mail			
Additional Details****					
D.O.B. of Nominee		Guardian			
Nominee 3 - Mandatory Details					
Name of Nominee					
Share of Nominee (%)**		Relationship		Identity No.***	
Postal Address					
Mobile No.		E-mail			
Additional Details****					
D.O.B. of Nominee		Guardian			

*Joint Accounts:

Event	**Transmission of Account / Folio to**
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion. The surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously - having nominee	Nominee
Demise of all joint holders simultaneously - not having nominee	Legal heir(s) of the youngest holde

** if % is not specified, then the assets shall be distributed equally amongst all the nominees (see table in 'Transmission aspects').

*** Provide only number: PAN or Driving Licence or Aadhaar (last 4). Copy of the document is not required.

MANDATORY

**** to be furnished only in following conditions / circumstances:

- Date of Birth (DoB) : please provide, only if the nominee is minor.
- Guardian : It is optional for you to provide, if the nominee is minor.

1) I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/us by the AMC / DP as follows; (please tick, as appropriate)
   ☐ Name of nominee(s) ☐ Nomination : Yes / No
2) I hereby authorize _____ (nominee number ___) to operate my account on my behalf, in case of my incapacitation in terms of paragraph 3.5 of the circular. He / She is authorized to encash my assets up to ___% of assets in the account / folio or Rs. _____. (strike off portions that are not relevant)
3) This nomination shall supersede any prior nomination made by me / us, if any.
4) Signature(s) - As per the mode of holding in Demat Account(s) / MF Folio(s).

	Name(s) of holder(s)	**Signature(s) of holder / thumb impression**
Sole / First Holder (Mr./Ms.)		✓
Second Holder (Mr./Ms.)		✓
Third Holder (Mr./Ms.)		✓

Witness 1*

Signature_____

Name_____

Address _____

_____

Witness 2*

Signature_____

Name_____

Address_____

_____

***** Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

Rights, Entitlement and Obligation of the investor and nominee:

- If you are opening a new demat account / MF folios, you have to provide nomination. Otherwise, you have to follow procedure as per 3.10 of this circular.
- You can make nomination or change nominee any number of times without any restriction.
- You are entitiled to receive acknowledgement from the AMC / DP for each instance of providing or changing nomination.
- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account / folio.
- In case all your nominees do not claim the assets from the AMC / DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account.
- Youhave theoption to designate any one ofyour nomineesto operate your account/ folio, in case of your physical incapacitation, at any point of time and not just during opening of account / folio. This mandate can be changed any time you choose.
- The signatories for this nomination form shall be as per mode of holding in the folio(s) / demat account(s) i.e.
  - 'Either or Survivor' Folios / Accounts - any one of the holder can sign
  - 'First holder' Folios / Accounts - only First holder can sign
  - 'Jointly' Folios / Accounts - all holders have to sign.

MANDATORY

Tramnsmission aspects

- AMCs / DPs shall transmit the folio / account to the nominee(s) upon receipt of 1) copy of deathcertificate and 2) completion / updation of KYC of the nominee(s). The nomimee is not required toprovide affidavits, indemnitites, under-takings, attestations or notarization.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of thedeceased investor. In this regard, no dispute shall lie against the AMC / DP.
- In case of multiple nomineees the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.

% share as specified by investor at the time of nomination		**% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'**			
Nominee	**% share**	**Nominee**	**% initial share**	**% of A's share to be apportioned**	**Total % share**
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	**100%**	**-**	**40%**	**60%**	**100%**